

Declaration for buying into the Pension Fund

Several factors can determine the possibility and maximum amount of your buy-in. Therefore we need you to answer all of the questions below and return the signed form before making your first buy-in.

1.	<p>Have you been required to transfer part of your pension savings to an ex-partner as part of a divorce or on dissolving a registered partnership?</p> <p><input type="checkbox"/> NO <input type="checkbox"/> YES</p> <p><i>If yes</i> Amount Date of transfer Amount repaid to date</p>
2.	<p>Have you made an early withdrawal for home ownership that has not yet been repaid in full?</p> <p><input type="checkbox"/> NO <input type="checkbox"/> YES</p> <p><i>If yes</i> Date of payment Name of disbursing pension fund Amount repaid to date</p>
3.	<p>Do you have any second pillar vested benefits accounts or policies in addition to your comPlan retirement savings?</p> <p><input type="checkbox"/> NO <input type="checkbox"/> YES</p> <p><i>If yes</i> Please enclose the most recent year-end statements for all existing vested benefits accounts and policies.</p>
4.	<p>Have you ever been self-employed?</p> <p><input type="checkbox"/> NO <input type="checkbox"/> YES</p> <p><i>If yes</i> Do you have any restricted retirement savings accounts or pension plans under pillar 3a?</p> <p><input type="checkbox"/> NO <input type="checkbox"/> YES</p> <p><i>If yes</i> Please enclose the most recent year-end statements for all existing pillar 3a accounts and policies.</p>
5.	<p>Did you pay pillar 3a contributions before you turned 25?</p> <p><input type="checkbox"/> NO <input type="checkbox"/> YES</p> <p><i>If yes</i> Please enclose insurance certificates and/or termination benefit statements.</p>
6.	<p>Have you moved to Switzerland from another country in the past five years?</p> <p><input type="checkbox"/> NO <input type="checkbox"/> YES, I moved to Switzerland as at</p> <p><i>If yes</i> Had you ever been insured with a Swiss pension fund before that date?</p> <p><input type="checkbox"/> NO <input type="checkbox"/> YES</p> <p><i>If yes</i> Please enclose insurance certificates and/or termination benefit statements.</p>
7.	<p>Do you draw a retirement pension having taken early retirement or have you taken second pillar retirement benefits as a lump sum (lump sum payments of retirement savings from a pension scheme or assets invested benefits account/policy)?</p> <p><input type="checkbox"/> NO <input type="checkbox"/> YES</p> <p><i>If yes</i> Please enclose a termination benefit statement showing details of the termination benefit on early retirement.</p>

Surname First Name

AHV number:

Place and date Signature

Notes on buying into the Pension Fund

Please note that your **payment** must be credited **on a value date no later than 15 December of the current year** in order for the buy-in to qualify for the current **tax period**. Buy-ins made after the cut-off date or paid into the wrong account will be returned to you.

Once a buy-in has been made, the associated benefits cannot be withdrawn from the pension fund as a lump sum in the first three years. The Swiss Federal Court judgment of 12 March 2010 on case 2C_658/2009 ruled that the three-year restriction on lump-sum withdrawals for tax purposes applies to the entirety of the retirement savings, not merely the buy-in benefits. **If you should decide to withdraw a lump sum within the three-year period, you can expect to receive a sizeable tax bill.**

The buy-in is calculated on the basis of the information provided in sections 1 to 7 of this declaration and all information available to comPlan. Tax deductions applicable to the buy-in are determined by Swiss national and cantonal tax law. comPlan does not accept any liability for ensuring that contributions comply with the rules on tax deductions.

Confirmation of buy-in

I confirm that I have read and understood the notes on buying into the Pension Fund. I understand that any inaccuracies and omissions may have tax implications, for which I accept sole liability.

Surname First Name

AHV number:

Place and date Signature

Requested buy-in (non-binding) CHF